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ON SOME CHARACTERIZATION OF THE ABSOLUTE VALUE OF AN ADDITIVE FUNCTION

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Abstract. Let G be an abelian group, let K be the real or complex field, let X be a normed space over K, and let $u \in X$ such that ||u|| = 1 be given. We assume that there exists a subspace X_1 of X such that

$$X = \operatorname{Lin}(u) \oplus X_1$$

and

$$\|\alpha u + x_1\| \ge \max(|\alpha|, \|x_1\|) \quad \text{for} \quad \alpha \in \mathbb{K}, \ x_1 \in X_1.$$

Then we prove that the general solution $f: G \to \mathbb{K}$ of the equation

$$f(x+y) + f(x-y) + ||f(x+y) - f(x-y)||u| = 2f(x) + 2f(y)$$
 for $x, y \in G$

is given by the formula

$$f(x) = |a(x)|u \quad \text{for} \quad x \in G,$$

where $a: G \to \mathbb{R}$ is an additive function.

Let G be a group. A. Chaljub-Simon and P. Volkmann considered in [1] the functional equation

(1)
$$\max\{f(x+y), f(x-y)\} = f(x) + f(y)$$
 for $x, y \in G$,

where f maps G into **R**. They proved that if G is abelian then the general solution of (1) is of the form

(2)
$$f(x) = |a(x)| \quad \text{for } x \in G,$$

Received March 7, 1994. AMS (1991) subject classification: Primary 39B52. where $a: G \to \mathbb{R}$ is an additive function. We are going to generalize this result. Since

$$\max\{x,y\} = \frac{x+y+|x-y|}{2} \quad \text{for} \quad x,y \in \mathbb{R},$$

equation (1) can be rewritten as

(3)
$$f(x+y)+f(x-y)+|f(x+y)-f(x-y)| = 2f(x)+2f(y)$$
 for $x, y \in G$.

Equation (3) may be considered not only for functions of real values but for functions of complex values, as well. We shall generalize equation (3)to admit functions taking values in a normed space. But first we establish some denotations.

R and **C** denote the real or complex field, respectively, and we treat them as normed spaces with the absolute value as the norm. For $x \in \mathbb{C}$ the real part of x is written as Rex. **K** stands for the real or complex field. In a vector space by $\operatorname{Lin}(u)$ we denote the subspace generated by u. Symbol $x \circ y$ stands for an inner product of x and y.

Let X be a (real or complex) normed space and let $u \in X$, $u \neq 0$, be fixed. We consider the following equation

(4)
$$f(x+y)+f(x-y)+||f(x+y)-f(x-y)||u|=2f(x)+2f(y)$$
 for $x, y \in G$,

where f maps G into X. Note that if $X = \mathbb{C}$ and u = 1 then (4) becomes (3).

THEOREM 1. Let G be a group, let X be a normed space over \mathbb{K} , and let a $u \in X$ such that ||u|| = 1 be fixed. We assume that there exists a subspace X_1 of X such that

(5) $X = \operatorname{Lin}(u) \oplus X_1$

and

(6)
$$\|\alpha u + x_1\| \ge \max\{|\alpha|, \|x_1\|\}$$
 for $\alpha \in \mathbb{K}, x_1 \in X_1$.

Then the general solution of equation (4) is given by the formula

(7)
$$f(x) = f_u(x)u \quad \text{for} \quad x \in G,$$

where $f_u: G \to \mathbb{R}$ satisfies (3).

PROOF. Let $f: G \to X$ satisfy (4). Due to (5) we have uniquely determined mappings $f_u: X \to \mathbb{K}, g_u: X \to X_1$ such that

(8)
$$f(x) = f_u(x)u + g_u(x) \quad \text{for} \quad x \in G.$$

From (4) we get

(9)
$$f_u(x+y) + f_u(x-y) + ||f(x+y) - f(x-y)|| = 2f_u(x) + 2f_u(y)$$

for $x, y \in G$,

(10)
$$g_u(x+y) + g_u(x-y) = 2g_u(x) + 2g_u(y)$$
 for $x, y \in G$.

We are going to prove that f_u takes only real values and next that $g_u = 0$. Inserting into (9) and (10) x = y = 0 we conclude that

$$(11) f_u(0) = 0$$

and

(12)
$$g_{\mu}(0) = 0,$$

whence by (8)

(13) f(0) = 0.

Putting in (9) x = y and applying (11) and (13) we obtain

(14)
$$f_u(2x) + ||f(2x)|| = 4f_u(x)$$
 for $x \in G$.

Conditions (6) and (8) yield

(15)
$$||f(x)|| \ge |f_u(x)| \quad \text{for} \quad x \in G.$$

Now we prove that f_u admits real values only. In the case $\mathbb{K} = \mathbb{C}$ we denote by f_1, f_2 the real part and the imaginary part of f_u , respectively. Then we obtain from (14)

(16)
$$f_1(2x) + ||f(2x)|| = 4f_1(x)$$
 for $x \in G$,

(17) $f_2(2x) = 4f_2(x)$ for $x \in G$.

By (15) we have

$$||f(x)|| \ge |f_u(x)| \ge |f_1(x)|$$
 for $x \in G$,

and hence in view of (16)

$$4f_1(x) \ge f_1(2x) + |f_1(2x)| \ge 0$$
 for $x \in G$,

i.e.

(18) $f_1(x) \ge 0$ for $x \in G$.

Making use of (15) again we get

$$||f(x)|| \ge |f_u(x)| \ge |f_2(x)|$$
 for $x \in G$,

which together with (16) and (17) yields

(19)
$$f_1(2x) + 4|f_2(x)| \le 4f_1(x)$$
 for $x \in G$.

This inequality and (18) imply that

$$4(f_1(x) - |f_2(x)|) \ge f_1(2x) \ge 0 \quad \text{for} \quad x \in G$$

and further that

(20)
$$f_1(x) \ge |f_2(x)| \quad \text{for} \quad x \in G.$$

We put

$$k := \sup \left\{ \alpha \in \mathbb{R} : f_1(x) \ge \alpha |f_2(x)| \quad \text{for all} \quad x \in G \right\}.$$

By (20) $k \ge 1$. If we had $k < \infty$ then, by (17), we would get

$$f_1(2x) \ge k|f_2(2x)| = 4k|f_2(x)|$$
 for $x \in G$,

and further by (17) and (19)

$$f_1(x) \ge k|f_2(x)| + |f_2(x)| = (k+1)|f_2(x)|$$
 for $x \in G$,

which contradicts the definition of k. Thus $k = \infty$ which means that $f_2 = 0$, i.e. f_u takes real values only.

Now we show that $g_u = 0$. Since $f_2 = 0$, we have by (18)

(21)
$$f_u(x) = f_1(x) \ge 0 \quad \text{for} \quad x \in G.$$

Setting in (10) x = y and applying (12) we get

(22)
$$g_u(2x) = 4g_u(x) \quad \text{for} \quad x \in G.$$

We put

(aa)

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$$p := \sup \left\{ lpha \in \mathbb{R} : f_u(x) \ge lpha \| g_u(x) \| \text{ for all } x \in G \right\}.$$

With the aid of the subsequential use of (8), (6), (14) and (22) we obtain

(23)
$$f_u(2x) + 4 ||g_u(x)|| \le 4f_u(x)$$
 for $x \in G$.

This inequality and (21) imply that

 $f_u(x) \ge ||g_u(x)||$ for $x \in G$,

which means that $p \ge 1$. We claim that $p = \infty$. On the contrary we would have by (22) that

 $f_u(2x) \ge 4p ||g_u(x)||$ for $x \in G$,

and further by (23)

 $f_u(x) \ge (p+1) \|g_u(x)\| \quad \text{for} \quad x \in G,$

which is impossible. Hence $p = \infty$, i.e. $g_u = 0$.

Now (7) results from (8). To complete the first part of the proof we need to show yet that f_u satisfies (3). But since $g_u = 0$ and ||u|| = 1, it follows directly from (9).

It is obvious that the function f of the form (7) satisfies equation (4). \Box

Setting in Theorem 1 $X = \mathbb{C}$, $\mathbb{K} = \mathbb{R}$, u = 1 and making use of Theorem 1 from [1] we obtain the following

COROLLARY. Let G be an abelian group. Then the general solution $f: G \to \mathbb{C}$ of equation (3) is given by formula (2).

From Theorem 1 and Corollary we obtain directly the following theorem which is the main result of the paper.

THEOREM 2. Let G be an abelian group, let X be a normed space over \mathbb{K} , and let $u \in X$ such that ||u|| = 1 be fixed. We assume that there exists a subspace X_1 of X such that (5) and (6) hold. Then the general solution of equation (4) is given by the formula

(24)
$$f(x) = |a(x)|u \quad \text{for} \quad x \in G,$$

where $a: G \to \mathbb{R}$ is an additive function.

The subspace X_1 (satisfying (5) and (6)) plays the crucial role in the proof of Theorem 1. Therefore the existence and the uniqueness of such a

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subspace become important problems. One notices immediately that in a Hilbert space X we may take $X_1 = (\operatorname{Lin}(u))^{\perp}$ - the orthogonal complement of $\operatorname{Lin}(u)$. It occurs that in this case the subspace X_1 is determined uniquely by u. Suppose, for contradiction, that there exists such a subspace X_1 satisfying (5) and (6) that $u \circ x \neq 0$ for some $x \in X_1$. We may assume, multiplying if necessary x by a suitable scalar, that $\operatorname{Re}(u \circ x) < 0$. Then we have for sufficiently small positive real α

$$||u + \alpha x||^{2} = 1 + \alpha^{2} ||x||^{2} + 2\alpha \operatorname{Re}(u \circ x) = 1 + \alpha(\alpha ||x||^{2} + 2 \operatorname{Re}(u \circ x)) < 1,$$

which contradicts (6).

However in general case it may happen that in the same normed space for a given u with ||u|| = 1 may be no such a subspace, may be exactly one and may be infinitely many, as well. Before presenting an appropriate example (suggested to me by Jacek Tabor) we rewrite (6) in a more convenient form. Making use of the absolute homogeneity of a norm we notice that condition (6) is equivalent to the following one

(25)
$$||u + tv|| \ge \max\{1, |t|\}$$
 for $t \in \mathbb{K}, v \in X_1, ||v|| = 1$.

EXAMPLE 1. Let $\mathbb{K} = \mathbb{R}$, $X = \mathbb{R}^2$ and

$$\|(x_1, x_2)\| := \begin{cases} |x_1| + |x_2| & \text{if } x_1 x_2 \ge 0, \\ |x_2| & \text{if } x_1 x_2 < 0 \text{ and } \left|\frac{x_1}{x_2}\right| \le \frac{1}{2} \\ |x_1| + \frac{1}{2}|x_2| & \text{if } x_1 x_2 < 0 \text{ and } \left|\frac{x_1}{x_2}\right| \ge \frac{1}{2} \end{cases}$$

The unit circle with the center at zero looks as follows.



Obviously the mapping $|| \cdot ||$ is absolutely homogeneous. Since, moreover, the unit circle with the center at the origin is convex and contains zero in its interior, $|| \cdot ||$ is a norm.

Let $u_1 = (1,0), v_1 = (x,1), -\frac{1}{2} < x < 0$. Then we have for $t \in \mathbb{R}$

$$\|u_1 + tv_1\| = \|(1 + tx, t)\| = \begin{cases} 1 + (x+1)t & \text{if } 0 \le t \le -\frac{1}{x}, \\ |t| & \text{if } t < \frac{-1}{x + \frac{1}{2}} \text{ or } t > -\frac{1}{x}, \\ 1 + \left(x - \frac{1}{2}\right)t & \text{if } \frac{-1}{x + \frac{1}{2}} \le t < 0. \end{cases}$$

Simple calculation shows that condition (25) is valid.

Now we prove that for $u_2 = (\frac{3}{4}, \frac{1}{4})$ there is no such v that ||v|| = 1 and (25) holds true. Suppose that there exists a $v = (x_1, x_2)$, ||v|| = 1 such that (25) is valid. Then, for sufficiently small $t \in \mathbb{R}$, we obtain

$$||u_2 + tv|| = \left\| \left(\frac{3}{4} + tx_1, \frac{1}{4} + tx_2 \right) \right\| = 1 + t(x_1 + x_2).$$

From this equality and (25) we infer that $x_1 + x_2 = 0$, i.e. $x_1 = \frac{2}{3}, x_2 = -\frac{2}{3}$ or $x_1 = -\frac{2}{3}, x_2 = \frac{2}{3}$. Let e.g. $x_1 = \frac{2}{3}, x_2 = -\frac{2}{3}$. Then

$$\left\| u_2 - \frac{9}{8}v \right\| = \|(0,1)\| = 1 < \frac{9}{8},$$

contradictory to (25).

Finally we consider $u_3 = (\frac{1}{4}, -1)$, $v_3 = (1, 0)$. For an arbitrary $t \in \mathbb{R}$, we have

$$||u_3 + tv_3|| = \left\| \left(\frac{1}{4} + t, -1 \right) \right\| \ge 1.$$

For t > 1 or t < -1 we obtain, respectively

$$\|u_3 + tv_3\| = \left\| \left(\frac{1}{4} + t, -1\right) \right\| \ge \frac{1}{4} + t > t = |t|,$$

$$\|u_3 + tv_3\| = \left\| \left(\frac{1}{4} + t, -1\right) \right\| = -\frac{1}{4} - t + 1 > -t = |t|$$

Hence (25) is valid.

To prove the uniqueness of X_1 (for u_3) suppose that there exists a v =

 (x_1, x_2) with $x_2 \neq 0$ and ||v|| = 1 satisfying (25). We consider a $t \in \mathbb{R}$ such that

$$0 < \frac{1}{4} + tx_1 < 1, \quad -1 < -1 + tx_2 < 0, \quad \frac{\frac{1}{4} + tx_1}{1 - tx_2} < \frac{1}{2}.$$

Then

$$||u_3 + tv|| = \left\| \left(\frac{1}{4} + tx_1, -1 + tx_2 \right) \right\| = 1 - tx_2 < 1.$$

This shows that (25) does not hold, and hence that for $u_3 = (\frac{1}{4}, -1)$ the subspace X_1 is determined uniquely.

Now we show that the condition ||u|| = 1 is an essential assumption in Theorem 1 and Theorem 2.

EXAMPLE 2. Let $\mathbb{K} = \mathbb{R}$, $X = \mathbb{R}^2$ with the Euclidean norm, $G = (\mathbb{R}, +)$, $u = (\sqrt{2}, \sqrt{2})$. We define a function $f_u : \mathbb{R} \to \mathbb{R}$ by the formula

$$f_u(x) := |x| \quad \text{for} \quad x \in \mathbb{R}.$$

It is obvious that f_u satisfies (3). However function f defined by (7) does not satisfy (4). In fact, for x = y = 1

$$f(x+y) + f(x-y) + ||f(x+y) - f(x-y)||u| = (6\sqrt{2}, 6\sqrt{2}),$$

but

$$2f(x) + 2f(y) = (4\sqrt{2}, 4\sqrt{2}).$$

This proves that the condition ||u|| = 1 is an essential assumption in Theorem 1. Furthermore the function f is of the form (24) however it does not satisfy equation (4), which means that the condition ||u|| = 1 is also an essential assumption in Theorem 2.

The following problems arising naturally from our considerations seem to be interesting.

PROBLEM 1. Find the general solution of the equation (4) without assuming that ||u|| = 1.

To solve Problem 1 one may find useful to get first an answer to the following

PROBLEM 2. Find the general solution of the equation

$$f(x+y) + f(x-y) + |f(x+y) - f(x-y)|c = 2f(x) + 2f(y)$$
 for $x, y \in G$,

where f maps G into \mathbb{R} or \mathbb{C} and c is a given positive real constant.

PROBLEM 3. Characterize normed spaces X such that for each $u \in X$ with ||u|| = 1 there exists a subspace X_1 satisfying (5) and (6).

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